Conformational Analysis of Saturated Heterocycles. LIV. ${ }^{1}$ The Conformation of Piperidine as

Determined by Paramagnetic Shifts in the Nmr

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#### Abstract

Cobalt(II) acetylacetonate induced shifts in the phenyl proton nuclear magnetic resonance of N -substituted 4-phenylpiperidines disclose differential complexation of equatorially and axially oriented N lone pairs with the shift reagent. Previous applications of this technique to the NH conformational equilibrium of piperidine are invalid, and our previous conclusion of the NH-equatorial preference for piperidine stands. We caution that shift-reagent applications to equilibria must specifically consider differential complexing of equilibrating species.


We claim ${ }^{2}$ that all reliable evidence indicates the equatorial NH conformation of piperidine to be preferred by ca. $0.4 \mathrm{kcal} \mathrm{mol}^{-1}$, but some original proponents of the NH -axial preference continue to waver ${ }^{3}$ or dissent. ${ }^{4}$ In particular, recent papers ${ }^{5-7}$ purport to demonstrate the NH -axial preference of piperidines using the paramagnetic shift reagents cobalt(II) and nickel(II) acetylacetonates. We contend that the interpretation ${ }^{5-7}$ of these experimental data needs modification and we wish to report our own theoretical and experimental work on this topic.

The earlier work ${ }^{5}$ on paramagnetic shifts in piperidines was based on eq 1 where ( $\left.\Delta \nu_{\mathrm{ax}}\right)_{\text {obsd }}$ is the observed

$$
\begin{equation*}
\frac{\left(\Delta \nu_{\mathrm{ax}}\right)_{\mathrm{obsd}}}{\left(\Delta \nu_{\mathrm{eq}}\right)_{\mathrm{obsd}}}=\frac{P_{\mathrm{e}}\left(\Delta \nu_{\mathrm{ax}}\right)_{\mathrm{e}}+\left(1-P_{\mathrm{e}}\right)\left(\Delta \nu_{\mathrm{ax}}\right)_{\mathrm{a}}}{P_{\mathrm{e}}\left(\Delta \nu_{\mathrm{eq}}\right)_{\mathrm{e}}+\left(1-P_{\mathrm{e}}\right)\left(\Delta \nu_{\mathrm{eq}}\right)_{\mathrm{a}}} \tag{1}
\end{equation*}
$$

shift of an $\alpha$-axial proton, $\left(\Delta \nu_{\mathrm{ax}}\right)_{\mathrm{e}}$ and $\left(\Delta \nu_{\mathrm{ax}}\right)_{\mathrm{a}}$ are the individual shifts of $\alpha$-axial protons in the e and a conformers (Scheme I; "a" and "e" refer throughout to
Scheme I

the lone pair; thus the " $a$ " conformer of 1 -methylpiperidine denotes the conformer with the equatorial

[^0]methyl group (and the axial lone pair)), $P_{\mathrm{e}}$ and $1-P_{\mathrm{e}}$ are the mole proportions of those conformers, etc. Using data from 1,4-dimethylpiperidine (1) for the left-hand side, eq 1 was solved ${ }^{5}$ for 3-(2) and 4-methylpiperidine (3) giving $P_{\mathrm{e}} 0.87$ and 0.88 , respectively, a preference for the NH -axial conformer of $c a .1 .2 \mathrm{kcal}$ $\mathrm{mol}^{-1}$.

Equation 1 assumes that an observed shift of a proton is proportional to a weighted average of the paramagnetic shifts of that proton in the equatorial and axial complexes. However, for such a weighting to reflect the free base, conformational equilibrium required the unlikely assumption that the a and e conformers complex equally with the added paramagnetic reagent.

We have examined the corresponding 4-phenyl derivatives. As ring inversion is absent, the equilibrium between free base forms and complexed conformers is as illustrated in Scheme II. The species with lone

Scheme II

pair equatorial and lone pair axial are denoted by e and a, respectively, and the corresponding complexes by ce and ca. The mole proportions of the compounds of the equilibrium are denoted by $P_{\mathrm{e}}, P_{\mathrm{a}}, P_{\mathrm{ce}}$, and $P_{\mathrm{ca}}$, respectively. Initially, before the addition of the shift reagent, $\mathrm{M}(\mathrm{AA})_{2}$, let $P_{\mathrm{e}}$ equal $x$ and $P_{\mathrm{a}}$ equal $1-x$. On addition of $\mathrm{M}(\mathrm{AA})_{2}$, denote $P_{\mathrm{e}}$ by $y$, and from the equilibrium constants $P_{\mathrm{a}}, P_{\mathrm{ce}}$, and $P_{\mathrm{ca}}$ follow as $y(1$ $-x) / x, y[\mathrm{M}] K_{\mathrm{e}}$, and $[y(1-x) / x][\mathrm{M}] K_{\mathrm{a}}$. (The equi-

Table I. Typical Values of Geometric Factors for Phenyl Protons

| Complex | Proton | $\begin{gathered} \text { Case A } \\ \mathrm{N}-\mathrm{Co} 1.9 \AA, \alpha 49^{\circ} \end{gathered}$ | $\begin{gathered} \text { Case } \mathrm{B} \\ \mathrm{~N}-\mathrm{Co} 2.5 \AA, \alpha 49^{\circ} \end{gathered}$ | $\begin{gathered} \text { Case } \mathrm{C} \\ \mathrm{~N}-\mathrm{Co} 2.2 \AA, \alpha 9^{\circ} \end{gathered}$ | $\begin{gathered} \text { Case } \mathrm{D} \\ \mathrm{~N}-\mathrm{Co} \\ 1.9 \mathbf{A}^{\circ}, \alpha 9^{\circ} \end{gathered}$ | $\begin{gathered} \text { Case }^{\mathrm{E}} \\ \mathrm{~N}-\mathrm{Co} 2.5 \AA, \alpha 69^{\circ} \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Axial | Ortho | -0.00761690 | -0.00638446 | -0.01071738 | -0.00998765 | -0.01522616 |
|  | Meta | -0.00228653 | -0.00218370 | -0.00269774 | -0.00216297 | -0.00284618 |
|  | Para | -0.00168947 | -0.00147991 | -0.00205807 | -0.00208403 | -0.00233815 |
| Equatorial | Ortho | 0.00593266 | 0.00470899 | 0.00508663 | 0.00525564 | 0.00426464 |
|  | Meta | 0.00253612 | 0.00211887 | 0.00224124 | 0.00224374 | 0.00191428 |
|  | Para | 0.00198806 | 0.00167049 | 0.00175515 | 0.00173764 | 0.00149921 |



Figure 1. Geometry of the 4-phenylpiperidine complexes.
librium constants $K_{\mathrm{e}}$ and $K_{\mathrm{a}}$ for complexation of equatorial and axial lone pairs respectively are defined as $K_{\mathrm{e}}=P_{\mathrm{ce}} /[\mathrm{M}] P_{\mathrm{e}}$ and $K_{\mathrm{a}}=P_{\mathrm{ca}} /[\mathrm{M}] P_{\mathrm{a}}$, where [M] refers to the concentration of shift reagent $\mathrm{M}(\mathrm{AA})_{2}$.) The initial chemical shift of an observed proton is given by eq 2 where $\nu_{\mathrm{e}}$ and $\nu_{\mathrm{a}}$ represent the chemical shifts of

$$
\begin{equation*}
\nu_{\mathrm{init}}=x \nu_{\mathrm{e}}+(1-x) \nu_{\mathrm{a}} \tag{2}
\end{equation*}
$$

that proton in the respective conformers. At equilibrium after addition of $\mathrm{M}(\mathrm{AA})_{2}$ the chemical shift of the proton is described by eq 3 where $\nu_{\mathrm{ce}}$ and $\nu_{\mathrm{ca}}$

$$
\begin{array}{r}
\nu_{\mathrm{equil}}=y \nu_{\mathrm{e}}+[y(1-x) / x] \nu_{\mathrm{a}}+y[\mathrm{M}] K_{\mathrm{e}} \nu_{\mathrm{ce}}+ \\
{[y(1-x) / x][\mathrm{M}] K_{\mathrm{a}} \nu_{\mathrm{ca}}} \tag{3}
\end{array}
$$

have meanings similar to the above. Thus, the shift induced by the added $\mathrm{M}(\mathrm{AA})_{2}, \Delta \nu$, is given by eq 4 .

$$
\begin{align*}
& \Delta \nu=\nu_{\text {equil }}-\nu_{\text {init }}= \\
&(y-x) \nu_{\mathrm{e}}+(1-x)(y / x-1) \nu_{\mathrm{a}}+ \\
& y[\mathrm{M}] K_{\mathrm{e}} \nu_{\mathrm{ce}}+[y(1-x) / x][\mathrm{M}] K_{\mathrm{a}} \nu_{\mathrm{ca}} \tag{4}
\end{align*}
$$

Added $\mathrm{M}(\mathrm{AA})_{2}$ did not exceed $5 \mathrm{~mol} \%$; hence $x$ and $y$ may be equated and $\Delta \nu$ simplifies to eq 5 . By observa-

$$
\begin{equation*}
\Delta \nu=x[\mathrm{M}] K_{\mathrm{e}} \nu_{\mathrm{ce}}+(1-x)[\mathrm{M}] K_{\mathrm{a}} \nu_{\mathrm{ca}} \tag{5}
\end{equation*}
$$

tion of the ratio of induced shifts of any two of the ortho, meta, and para protons of the phenyl ring, equations of the type in (6) may be set up.

$$
\begin{equation*}
\frac{\Delta \nu^{0}}{\Delta \nu^{\mathrm{m}}}=\frac{x K_{\mathrm{e}} \nu^{\mathrm{o}}{ }_{\mathrm{ce}}+(1-x) K_{\mathrm{a}} \nu^{\circ}{ }_{\mathrm{ca}}}{x K_{\mathrm{e}} \nu_{\mathrm{ce}}^{\mathrm{m}}+(1-x) K_{\mathrm{a}} \nu^{\mathrm{m}}{ }_{\mathrm{ca}}} \tag{6}
\end{equation*}
$$

Since $\mathrm{Ni}(\mathrm{AA})_{2}$ has a negligible effect on the phenyl protons, the contact contribution to the isotropic shift is zero. Hence the $\operatorname{Co}(\mathrm{AA})_{2}$-induced shifts in this instance are pseudocontact in origin. ${ }^{8-11}$ A pseudocontact shift is described by the relationship ${ }^{8} \Delta \nu=k g$ where $k$ is a function of $g$ tensor anisotropy (for given operating conditions and metal complex) and $g$ is the geometric factor, $\left(3 \cos ^{2} \theta-1\right) / r^{3}$, of the proton under
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consideration. The resonance of a proton in the Co(AA) $)_{2}$-piperidine complex is therefore given by eq 7 , and eq 6 follows as eq 8 , where $g^{\circ}{ }_{\text {ce }}$ is the geometric

$$
\begin{equation*}
\nu_{\text {complex }}=k g_{\text {complex }}+\nu_{\text {free ligand }} \tag{7}
\end{equation*}
$$

$$
\left(\frac{\Delta \nu^{0}}{\Delta \nu^{\mathrm{m}}}\right)_{\text {obsd }}=
$$

$$
\begin{equation*}
\frac{x K_{\mathrm{e}}\left(k g_{c e}^{\circ}+\nu_{\mathrm{e}}^{0}\right)+(1-x) K_{\mathrm{a}}\left(k g_{\mathrm{ca}}^{0}+\nu_{\mathrm{a}}\right)}{x K_{\mathrm{e}}\left(k g^{\mathrm{m}_{\mathrm{ce}}}+\nu_{\mathrm{e}}^{\mathrm{m}}\right)+(1-x) K_{\mathrm{a}}\left(k g_{\mathrm{ca}}^{\mathrm{m}_{\mathrm{ea}}}+\nu_{\mathrm{a}}^{\mathrm{m}_{\mathrm{a}}}\right)} \tag{8}
\end{equation*}
$$

factor of the ortho protons in ce, etc.
Geometric factors of the phenyl protons of 4-phenylpiperidine were calculated using the energy-minimized geometry of piperidine ${ }^{12}$ and assuming the lone pair directed at an angle of from 49 to $69^{\circ}$ to the $\mathrm{C}_{1}-\mathrm{N}-\mathrm{C}_{6}$ plane $(\alpha)$ and the N -Co bond length 1.9 to $2.5 \AA .{ }^{5}$ The phenyl ring adopts ${ }^{13}$ the "equatorial parallel" conformation shown in Figure 1 (cf. also work on ethylbenzene ${ }^{14,15}$ ). The geometric factors used for the ortho and meta protons were thus averages for the two respective positions, "up" and "down," and typical values are given in Table I. The sign of the geometric factors determines the direction of induced shift, to high or low field. ${ }^{9}$ Prediction of the shift for a particular sign of the geometric factor requires knowledge of the $g$ tensors parallel and perpendicular to the ligand field axis of the complex, and these are not known. However, from Table I it can be seen that the individual equatorial and axial complexes of the piperidines under investigation should exhibit different directions of induced shift and that the resultant shift depends on the relative amounts of the complexed forms, i.e., on the magnitudes of $K_{\mathrm{e}}$ and $K_{\mathrm{a}}$.

From eq 8 pairs of simultancous equations may be set up for $\left(\Delta \nu^{\mathrm{o}} / \Delta \nu^{\mathrm{m}}\right)_{\text {obsd }}$ and $\left(\Delta \nu^{\mathrm{m}} / \Delta \nu^{\mathrm{p}}\right)_{\text {obsd }}$ in which $k, k_{\mathrm{e}}$, and $K_{\mathrm{a}}$, and $x$ are variable factors. These may be solved uniquely for $k$ and, for a given value of $x$, for $K_{\mathrm{e}} / K_{\mathrm{a}}$ using the data in Table II. See paragraph at

Table II. Ratios of Induced Shifts of the Phenyl Protons

|  | Ratios of induced shifts-__ |  |  |
| :---: | :---: | :---: | :---: |
| Compd | Ortho/meta | Meta/para | Ortho/para |
| $\mathbf{4}$ | 2.27 | 2.44 | 5.54 |
| $\mathbf{5}$ | 2.08 | 1.91 | 3.95 |
| $\mathbf{6}$ | 2.68 | 1 | 2.68 |

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Table III. Results Obtained from Eq 8

| Compd | Geometric factors (see Table I) | $k$ | 0.6 | 0.4 | ${ }_{0}^{-K_{e}}$ | 0.2 | 0.15 | 0.04 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| N-Et (4) | A | -12333 | 1.2 | 2.7 |  | 7.3 | 10 | 43 |
|  | B | -15301 | 1.1 | 2.5 |  | 6.6 | 9.0 | 40 |
|  | C | -9384 | 2.5 | 5.5 |  | 15 | 20 | 89 |
|  | D | -10335 | 2.7 | 6.0 |  | 16 | 22 | 96 |
|  | E | -8563 | 5.2 | 12 |  | 31 | 44 | 186 |
| $\mathrm{N}-\mathrm{Me}$ (5) | A | -13493 | 1.2 | 2.8 | 5.0 | 7.4 |  | 44 |
|  | B | -15442 | 1.1 | 2.5 | 5.0 | 6.6 |  | 40 |
|  | C | -10621 | 2.5 | 5.7 | 11 | 15 |  | 90 |
|  | D | - 12952 | 2.7 | 6.2 | 12 | 16 |  | 98 |
|  | E | -9928 | 5.3 | 12 | 24 | 32 |  | 191 |
| $\mathrm{N}-\mathrm{H}$ (6) | A | -10457 | 0.73 | 1.6 |  | 4.4 |  | 26 |
|  | B | -15216 | 1.1 | 2.4 |  | 6.3 |  | 28 |
|  | C | -5996 | 0.88 | 2.0 |  | 5.3 |  | 32 |
|  | D | -3263 | 0.1 | 0.23 |  | 0.62 |  | 3.7 |
|  | E | -3124 | 0.82 | 1.8 |  | 4.9 |  | 29 |

end of paper regarding supplementary material. Table III records values of $k$ and also values of $K_{\mathrm{e}} / K_{\mathrm{a}}$ corresponding to a range of values of $x$ and to a range of geometric factors.

For the $N$-ethyl (4) and $N$-methyl (5) compounds, we believe that $x=0.15$ and 0.25 , respectively; ${ }^{12}$ some workers ${ }^{16}$ favor rather lower values of $x$, but for these compounds the assumption $K_{\mathrm{e}}=K_{\mathrm{a}}$ used in the previous treatment ${ }^{5}$ is clearly erroneous. Our results demonstrate that $K_{\mathrm{c}}=K_{\mathrm{a}}$ would require an axial preference of the $N$-alkyl groups.

In this situation, the fact that placing $K_{\mathrm{c}}=K_{\mathrm{a}}$ would likewise indicate an axial preference for the NH group in piperidine itself is clearly inadmissible as evidence for the NH orientation.

The most probable geometric factors (case C) and values of $x$ of $0.15,0.25$, and $0.40^{12}$ for NEt, NMe, and NH give values of $K_{\mathrm{e}} / K_{\mathrm{s}}$ of 20,11 , and 2 , respectively. Such values of $K_{\mathrm{e}} / K_{\mathrm{s}}$ demonstrate the greater influence of steric crowding on axial approach by the cobalt species. This is consistent with kinetic results on the quaternization rates of N -substituted piperidines. ${ }^{17}$

While uncertainties in the exact conformation of the phenyl group and in the precise dependence of $k$ on molecular structure preclude determination of the NH-axial/NH-equatorial equilibrium in piperidine using
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the shift reagents, the results are not inconsistent with our previous conclusion ${ }^{2}$ of NH -equatorial preference. A further independent treatment ${ }^{18}$ of nmr contact shifts in piperidines recently compared the shifts induced by nickel(II) diethyldithiophosphate with INDO calculated spin densities. The inconsistent conclusion was reached that the NH proton was equatorial in the piperidine but axial in the morpholine adduct.

We emphasize that shift reagents cannot be applied to the study of equilibrating systems unless specific consideration is given to possible differences in the complexing ability between the equilibrating species. ${ }^{19}$

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Supplementary Material Available. Data supplementary to this article will appear following these pages in the microfilm edition of this volume of the journal. Photocopies of the supplementary material from this paper only or microfiche ( $105 \times 148 \mathrm{~mm}, 24 \times$ reduction, negatives) containing all of the supplementary material for the papers in this issue may be obtained from the Journals Department, American Chemical Society, 1155 16th St., N.W., Washington, D. C. 20036. Remit check or money order for $\$ 3.00$ for photocopy or $\$ 2.00$ for microfiche, referring to code number JACS-74-682.
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